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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: HEDIN et al.

Examiner: Phil Natividad

Serial No.: 10/014278

Group Art Unit: 2877

Filed: December 11, 2001

Docket No.: 1010.8123-US-01

Title: ROBUST WAVELENGTH LOCKER FOR CONTROL OF LASER WAVELENGTH

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450 on April 6, 2004.

Iain A. McIntyre  
Name

  
Signature

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

- ☒ Supplemental Information Disclosure Statement, Form 1449, copies of 3 reference(s),
- ☒ Transmittal Sheet
- ☒ Return postcard

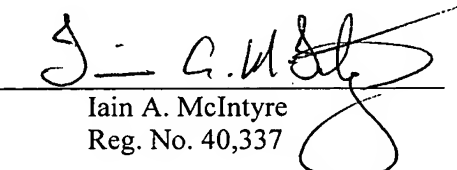
Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-2886.

Respectfully submitted,

CCVL  
Customer No. 38846

Date: April 6, 2004

By:

  
Iain A. McIntyre  
Reg. No. 40,337



S/N 10/014278

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|             |  |                 |                 |
|-------------|--|-----------------|-----------------|
| Applicant:  | Hedin et al.   | Examiner:       | Natividad, Phil |
| Serial No.: | 10/014278  | Group Art Unit: | 2877            |
| Filed:      | December 11, 2001  | Docket:         | 1010.8123U1     |
| Title:      | Robust Wavelength Locker for Control of Laser Wavelength |                 |                 |

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450 on April 6, 2004.

Iain A. McIntyre  
Name

Signature

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to Applicants with the next official communication.

Under 37 C.F.R. 1.97(e)(2) no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Serial No :10/014278

Filing Date: December 11, 2001

Title: Robust Wavelength Locker for Control of Laser Wavelength

Page 2

Dkt: 1010.8123U1

The Examiner is invited to contact the Applicant's Representative at the below-listed telephone number if there are any questions regarding this communication.

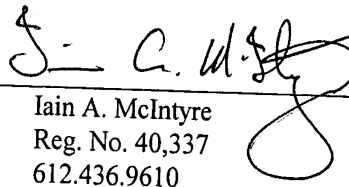
Respectfully submitted,

CCVL

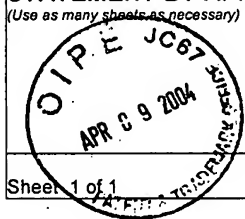
Customer No. 38846

Date: April 6, 2004

By:

  
Iain A. McIntyre  
Reg. No. 40,337  
612.436.9610

Substitute for form 1449A/PTO  
**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
(Use as many sheets as necessary)



Complete if Known

|                      |                  |
|----------------------|------------------|
| Application Number   | 10/014278        |
| Filing Date          | October 22, 2001 |
| First Named Inventor | Hedin et al.     |
| Group Art Unit       | 2877             |
| Examiner Name        | Natividad, Phil  |

Sheet 1 of 1

Attorney Docket No: 1010.8123U1

**US PATENT DOCUMENTS**

| Examiner Initial * | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Class | Subclass | Filing Date If Appropriate |
|--------------------|---------------------|------------------|---|-------|----------|----------------------------|
|                    | 5,121,371           | 06/09/1992       | Farnsworth et al.                               |       |          |                            |
|                    | 5,202,878           | 04/13/1993       | Kanehira et al.                                 |       |          |                            |
|                    | 6,643,025           | 11/04/2003       | Degertekin et al.                               |       |          |                            |

**FOREIGN PATENT DOCUMENTS**

| Examiner Initials* | Foreign Document No | Publication Date | Name of Patentee or Applicant of cited Document | Class | Subclass | T <sup>2</sup> |
|--------------------|---------------------|------------------|---|-------|----------|----------------|
|                    |                     |                  |   |       |          |                |
|                    |                     |                  |   |       |          |                |
|                    |                     |                  |   |       |          |                |

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|--------------------|----------------------|---|----------------|
|                    |                      |   |                |
|                    |                      |   |                |
|                    |                      |   |                |

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached